



Date: December 20, 2000

File No: 80101 #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application

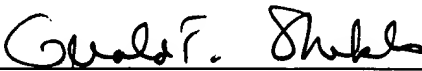
Applicant: BUSHIDA et al.

Serial No.: 09/648,780

Filed: August 28, 2000

For: OPTICAL COMPONENT DRIVING
DEVICE IN LASER APPARATUS

) I hereby certify that this correspondence is
) being deposited with the United Postal
) Service as first class mail in an envelope
) addressed to: Box MISSING PARTS /
) Assistant Commissioner for Patents /
) Washington, DC 20231, on
) December 20, 2000



Gerald T. Shekleton Reg. No. 27,466 Date

COMPLETION OF FILING REQUIREMENTS

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231


Sir:

Transmitted herewith in response to the Notice to File Missing Parts of
Application -- Filing Date Granted dated October 20, 2000, are:

- (X) Declaration or Oath for this application.
- (X) Filing fee for this application.
- (X) Assignment(s) of the invention to Komatsu Ltd.
- () Verified Statement Claiming Small Entity Status--.
- () Petition for Extension of Time.
- (X) Other: English Translation
- (X) A Check in the amount of \$880.00 to cover the filing fee, surcharge and assignment recordal fee.
- () Charge \$_____ to Deposit Account No. 23-0920.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or credit any overpayment, to Deposit Account No. 23-0920. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 23-0920. A duplicate copy of this sheet is enclosed.

120 South Riverside Plaza
22nd Floor
Chicago, Illinois 60606-3913
Telephone: (312) 655-1500

WELSH & KATZ, LTD.

By 
Gerald T. Shekleton

80101

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Satoru BUSHIDA and Motoharu NAKANE

Serial No.: 09/648,780

Filed: August 28, 2000

Examiner: JANICE BRSE

For: **OPTICAL COMPONENT DRIVING
DEVICE IN LASER APPARATUS**

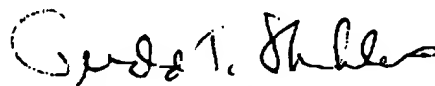
Fax No. 703 / 308-7751

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following copies of filed papers for the above identified patent application (28 Pages) and transmittal letter (1 Page) are being facsimile transmitted to the Patent and Trademark Office on the date shown below:

Dated: February 15, 2001



Gerald T. Shekleton
Registration No. 27,466

In Re Patent Application

Satoru BUSHIDA and Motoharu NAKANE-T. Kimura Patent Office

OPTICAL COMPONENT DRIVING DEVICE IN LASER APPARATUS

SN 09/618,780; Filed August 28, 2000

PATENT

80101

GTS/cb

December 20, 2000

Completion of Filing Requirements; Filing fee for patent application;
Executed Declaration and Power of Attorney; Late Filing Surcharge;
Assignment; Assignment Cover Sheet; Assignment Record Fee; Form PTO-1533;
Check for \$880.00; Postcard and Certificate of Mailing

TRANSLATION

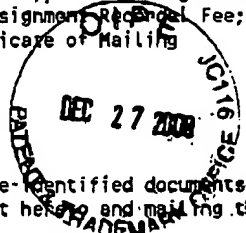
Assistant Commissioner for Patents

Sir:

Please acknowledge receipt of the above-identified documents by applying
the Patent and Trademark Office receipt here to and mailing this card.

Respectfully submitted,

WELSH & KATZ, LTD.





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/648,780	08/28/2000	Saturo Bushida	80101

Welsh & Katz Ltd
120 South Riverside Plaza
22nd Floor
Chicago, IL 60606-3903

FORMALITIES LETTER



OC000000005489369

Date Mailed: 10/20/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/29/2001 TUD111 00000009 230920 09648780

FILED UNDER 37 CFR 1.53(b)

01 FC:101 130.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.
- The balance due by applicant is \$ 820.

01/02/2001 JADD01 00000021 09648780

01 FC:101 710.00 OP
02 FC:105 130.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/648,780	08/28/2000	Saturo Bushida	80101

FORMALITIES LETTER



OC000000005738639

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

The U.S. Patent and Trademark Office has received your reply on 12/27/2000 to the Notice to File Missing Parts (Notice) mailed 10/20/2000 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain **EXTENSIONS OF TIME** under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

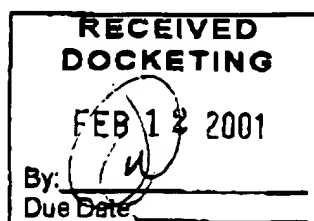
A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

A copy of this notice MUST be returned with the reply.

Initial Patent Examination Division (703) 308-1202

PART I - ATTORNEY/APPLICANT COPY



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